

# Model Checking and Games

Part IV - Model checking LTL

Rüdiger Ehlers, Clausthal University of Technology

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## Introduction

$\phi \models \psi$

Temporal logic

# Introduction

$\phi$

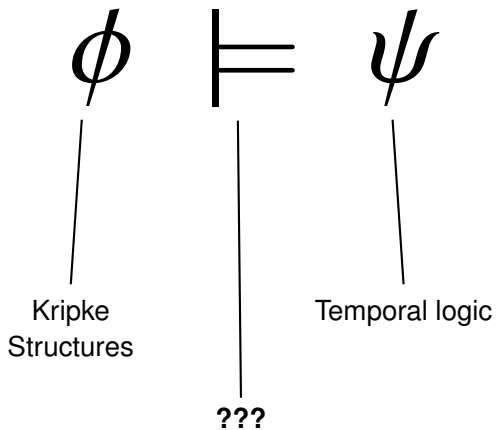
$\models$

$\psi$

Kripke  
Structures

Temporal logic

# Introduction

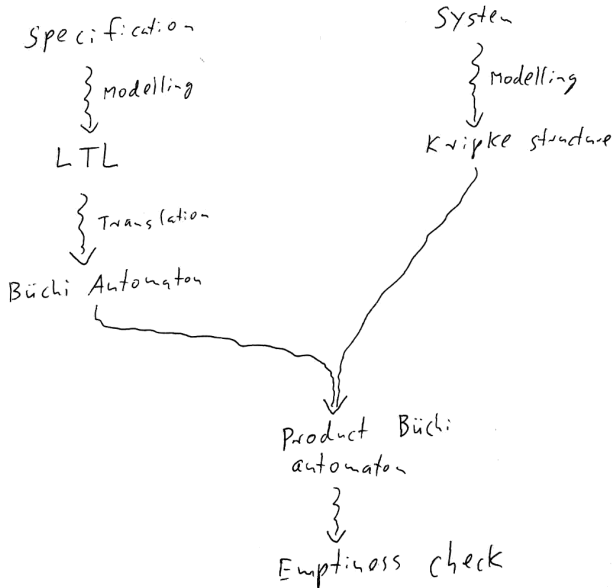


## Approach pursued in the following

### Steps

- 1 Translating the specification to an *automaton over infinite words*.
- 2 Building the product of the automaton and the Kripke structure
- 3 Checking the product for *language emptiness*

# Overview





# **Automata over infinite words**

# Motivation

## Automata over infinite words

- They capture specifications as *languages*
- They are a more “technical” representation for a specification, as needed for model checking.
- Well-researched topic since the 1960’s.



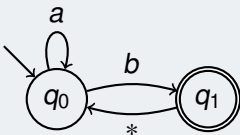
## A first automaton type: (Non-deterministic) Büchi automata

### Büchi automata

- Introduced by Büchi (1962)
- Have the same syntactic structure as automata over finite words
- *Accept* or *reject* infinite words over some alphabet  $\Sigma$

## Büchi automata by example

Example automaton 1 for  $\Sigma = \{a, b\}$

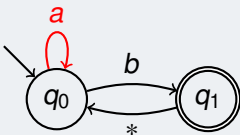


An example *run* of the automaton for a word

$\rho =$												
$\pi =$		$q0$										

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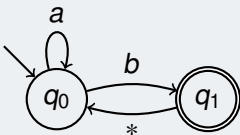


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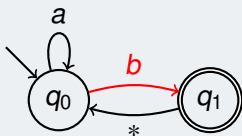


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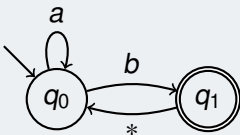


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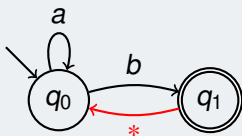


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$\rho =$	$a$	$b$										
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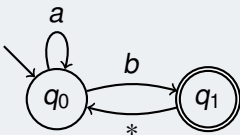


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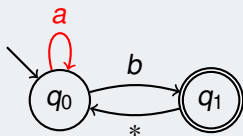
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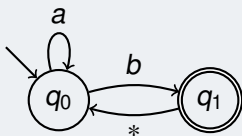


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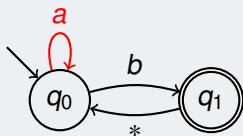


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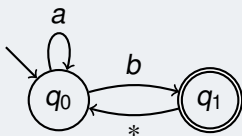


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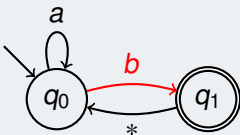


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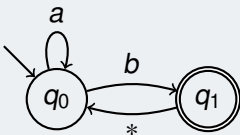


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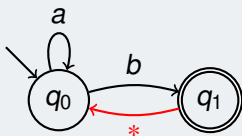


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$\rho =$	$a$	$b$	$a$	$a$	$a$	$b$						
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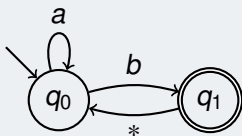


An example *run* of the automaton for a word

$\rho =$	a	b	a	a	a	b	a					
$\pi =$	q0	q0	q1	q0	q0	q0	q1					

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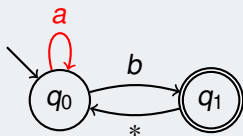
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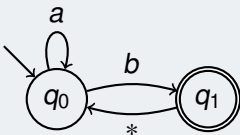


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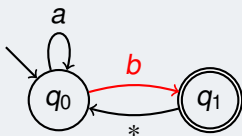


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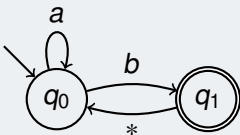


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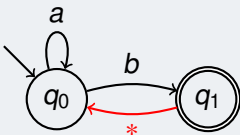


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$\rho =$	$a$	$b$	$a$	$a$	$a$	$b$	$a$	$a$	$b$			
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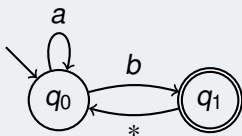


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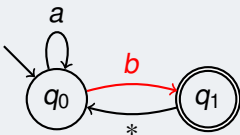


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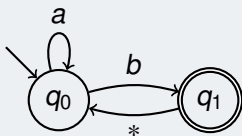


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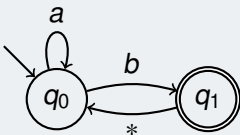
$\rho =$	$a$	$b$	$a$	$a$	$a$	$b$	$a$	$a$	$b$	$b$	$b$	
$\pi =$	$q_0$	$q_0$	$q_1$	$q_0$	$q_0$	$q_0$	$q_1$	$q_0$	$q_0$	$q_1$	$q_0$	$q_1$





## Büchi automata by example

Example automaton 1 for  $\Sigma = \{a, b\}$



An example *run* of the automaton for a word

$\rho =$	$a$	$b$	$a$	$a$	$a$	$b$	$a$	$a$	$b$	$b$	$b$	$\dots$
$\pi =$	$q_0$	$q_0$	$q_1$	$q_0$	$q_0$	$q_0$	$q_1$	$q_0$	$q_0$	$q_1$	$q_0$	$q_1 \dots$

## Formal definition of Büchi automata (1)

### Definition

Given a finite alphabet  $\Sigma$ , a (non-deterministic) Büchi automaton is a tuple  $\mathcal{A} = (Q, \Sigma, \delta, Q_0, F)$  with the following components:

- A *finite* set of states  $Q$ ,
- the alphabet  $\Sigma$ ,
- the transition relation  $\delta \subseteq Q \times \Sigma \times Q$ ,
- the initial states  $Q_0 \subseteq Q$ , and
- the set of *accepting states*  $F \subseteq Q$ .

Note that these are exactly the same components as for a (non-deterministic) automaton over finite words (NFAs). The similarities between these concepts do not end here, however.

## Formal definition of Büchi automata (2)

### Definitions

Let  $\mathcal{A} = (Q, \Sigma, \delta, Q_0, F)$  be a Büchi automaton and  $w = w_0 w_1 \dots \in \Sigma^\omega$  be an infinite word.

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Likewise, some finite sequence of states  $\pi = \pi_0\pi_1 \dots \pi_n \in Q^\omega$  is a finite run of  $\mathcal{A}$  on  $w$  if  $\pi_0 \in Q_0$ , and for every  $0 \leq i < n \in \mathbb{N}$ , we have  $(\pi_i, w_i, \pi_{i+1}) \in \delta$ .

## Formal definition of Büchi automata (3)

As for NFAs, runs can be accepting or rejecting, and the automaton accepts all words that have accepting runs. Since we are dealing with words of infinite length here, however, we cannot define the acceptance of such a word over which state is reached last.

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### Definition

A run  $\pi = \pi_0\pi_1 \dots \in Q^\omega$  of a Büchi automaton  $\mathcal{A} = (Q, \Sigma, \delta, Q_0, F)$  is accepting if and only if the set  $\{i \in \mathbb{N} \mid \pi_i \in F\}$  is infinitely large.

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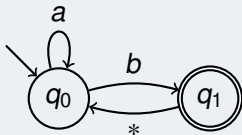
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The set of words accepted by the automaton is called its *language*.

## Revisiting the example

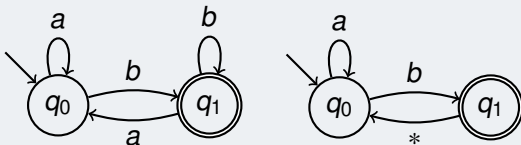


Example automata for  $\Sigma = \{a, b\}$



## Continued example

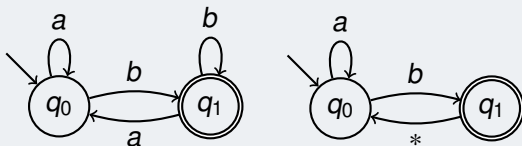
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Do they represent different languages?

## Continued example

Example automata for  $\Sigma = \{a, b\}$



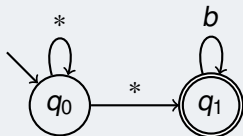
Do they represent different languages?

No, as the automaton still accepts all words in which there are infinitely many  $bs$ .

## Some examples (2)



Example automaton 2 for  $\Sigma = \{a, b\}$



## Let's build some Büchi automata!



Example 1 for  $\Sigma = \{a, b, c\}$

The automaton should accept all words for which  $a$  is infinitely often immediately followed by  $c$ .

## Let's build some Büchi automata!



### Example 1 for $\Sigma = \{a, b, c\}$

The automaton should accept all words for which  $a$  is infinitely often immediately followed by  $c$ .

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The letter  $a$  should never be immediately be followed by  $b$ , otherwise every word should be accepted.

## Let's build some Büchi automata!



### Example 1 for $\Sigma = \{a, b, c\}$

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### Example 2 for $\Sigma = \{a, b, c\}$

The letter  $a$  should never be immediately be followed by  $b$ , otherwise every word should be accepted.

### Example 3 for $\Sigma = \{a, b, c\}$

At some point in the future, every sequence of  $a$ s is immediately followed by a  $b$ .



# Deterministic vs. non-deterministic Büchi automata (1)

## Determinism

We say some that automaton  $\mathcal{A} = (Q, \Sigma, \delta, Q_0, F)$  is *deterministic* if for every  $q \in Q$  and  $x \in \Sigma$ , there is at most one state  $q' \in Q$  with  $(q, x, q') \in \delta$ .

## Comparison to automata over finite words

While for automata over finite words, deterministic and non-deterministic automata are equally expressive, *deterministic* Büchi automata are less expressive than non-deterministic Büchi automata.

## Deterministic vs. non-deterministic Büchi automata (2)

### Claim

The language  $L = \{wa^\omega \mid w \in \{a, b\}^*\}$  for  $\Sigma = \{a, b\}$  is not representable by a deterministic Büchi automaton.

### Proof by contradiction (part 1)

Assume that  $\mathcal{A} = (Q, \Sigma, \delta, Q_0, F)$  is a deterministic Büchi automaton for  $L$ .

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We start  $w$  with some fragment  $w^1 \in \{a\}^*$  such that  $\mathcal{A}$  visits some state in  $F$  from  $Q_0$  when reading  $w^1$ .

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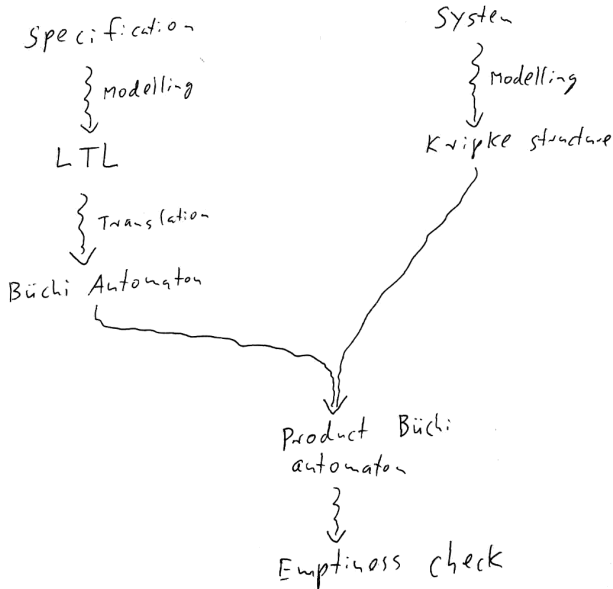
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### Side note

This also shows that deterministic Büchi automata cannot capture all properties expressible in LTL.

# Overview



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We will do so in two steps:

- Build a *product* Büchi automaton  $\mathcal{B}$  accepting  $\text{Traces}(\mathcal{K}) \cap \mathcal{L}(\mathcal{A})$
- Check the product automaton for emptiness.

## Product construction – formal definition

### Definition

Let  $\mathcal{K} = (S, AP, S_0, T, L)$  be a Kripke structure and  $\mathcal{A} = (Q, \Sigma, Q_0, \delta, F)$  be a Büchi automaton with  $\Sigma = 2^{AP}$ .

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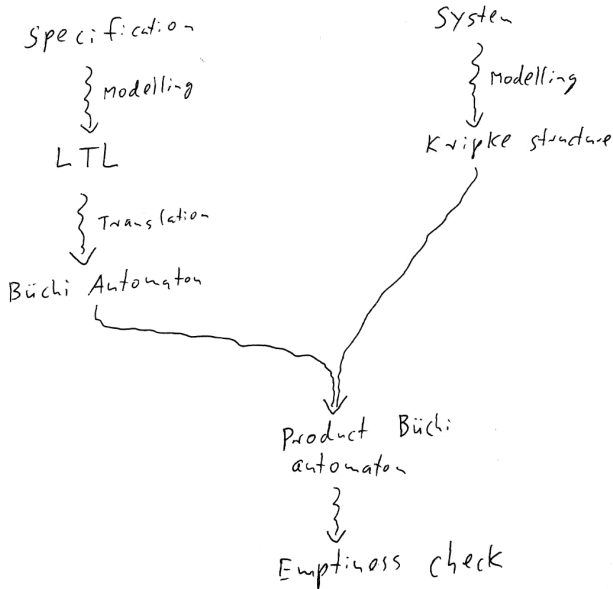
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### Proposition (without proof here)

$\mathcal{B}$  accepts exactly those words that accepted by  $\mathcal{A}$  **and** are traces of  $\mathcal{K}$  at the same time.

# Overview



# Checking Büchi automata language emptiness



A classical question in automata theory

Can we check an automaton for *language emptiness*?



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We can test this by checking if there exists a reachable accepting state.

Automata over infinite words

We can test this by checking if there exists an accepting *lasso*.

A lasso consists of a *lasso handle* and a *lasso cycle*, where the lasso cycle contains at least one accepting state.

## Checking automaton emptiness in practice

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→ Cubic time overall (which is not good!)

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The **Double-Depth-first-search** algorithm only takes time linear in  $|\delta|$ .

## Basic idea

We combine two depth-first search procedures to find reachable cycles:

- 1 The first one for finding a path *to* an accepting state
- 2 The second one for finding a path *back* to the state

# The double-DFS algorithm

## Shared variables

```
stack = []
stackB = []
visited = set([])
marked = set([])
```

## First DFS

```
def dfsA(node):
    if node in visited:
        return
    stack.push(node)
    visited.add(node)
    for all  $(v, v') \in E$  with  $v == \text{node}$ :
        dfsA(v')
    if  $v \in F$ :
        dfsB(v')
    stack.pop()
```

## Second DFS

```
def dfsB(node):
    if node in marked:
        return
    stackB.push(node)
    marked.add(node)
    for all  $(v, v') \in E$  with  $v == \text{node}$ :
        if  $v' \in \text{stack}$ :
            lasso found
        dfsB(v')
    stackB.pop()
```

## Calling dfsa

```
for all  $v \in Q_0$ :
    dfsA(v)
```

## Why is the double-DFS algorithm correct? (1/2)

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The first DFS Procedure makes sure that only reachable lasso cycles are found.

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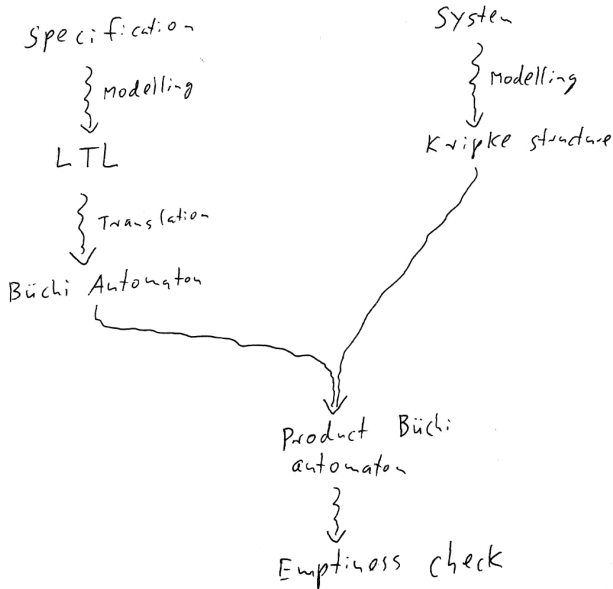
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# Overview





# Translating LTL to Büchi automata

Reading material: Section 3 of  
<https://www.ruediger-ehlers.de/phdthesis>

## Translating from LTL to Büchi automata

### Aim of the translation

Given an LTL formula, we want to translate an LTL formula to a Büchi automaton such that the language of the Büchi automaton is exactly the set of words satisfying the LTL formula.

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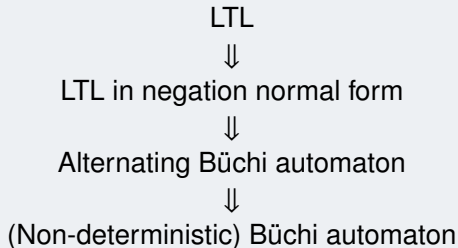
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## Approach presented in the following

We do a multi-step translation:



## LTL: Negation normal form

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## New LTL operator

We define the *release operator* as the complement of the *until operator*.

We say that for some word  $w = w_0 w_1 \dots \in (2^{\text{AP}})^\omega$  and some subformulas  $\psi$  and  $\psi'$ , we have  $w \models \psi \mathbf{R} \psi'$  if and only if

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We say that for some word  $w = w_0 w_1 \dots \in (2^{\text{AP}})^\omega$  and some subformulas  $\psi$  and  $\psi'$ , we have  $w \models \psi \mathbf{R} \psi'$  if and only if there exists some  $j \in \mathbb{N}$  such that  $w^j \models \psi$  and for all  $0 \leq k \leq j$ , we have  $w^k \models \psi'$

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## Translation procedure to NNF

$\psi$	if $\psi \in \{\mathbf{true}, \mathbf{false}\}$
$\psi$	if $\psi \in \{\neg p \mid p \in \text{AP}\} \cup \text{AP}$
$\text{toNNF}(\psi') \vee \text{toNNF}(\psi'')$	if $\psi = \psi' \vee \psi''$
$\text{toNNF}(\psi') \wedge \text{toNNF}(\psi'')$	if $\psi = \psi' \wedge \psi''$
$\mathbf{G}(\text{toNNF}(\psi'))$	if $\psi = \mathbf{G}\psi'$
$\mathbf{F}(\text{toNNF}(\psi'))$	if $\psi = \mathbf{F}\psi'$
$\mathbf{X}(\text{toNNF}(\psi'))$	if $\psi = \mathbf{X}\psi'$
$\text{toNNF}(\psi') \mathcal{U} \text{toNNF}(\psi'')$	if $\psi = \psi' \mathcal{U} \psi''$
$\text{toNNF}(\psi') \mathbf{R} \text{toNNF}(\psi'')$	if $\psi = \psi' \mathbf{R} \psi''$
$\text{toNNF}(\neg\psi') \wedge \text{toNNF}(\neg\psi'')$	if $\psi = \neg(\psi' \vee \psi'')$
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$\text{toNNF}(\neg\psi') \mathcal{U} \text{toNNF}(\neg\psi'')$	if $\psi = \psi' \mathbf{R} \psi''$
$\text{toNNF}(\psi)$	if $\psi = \neg\neg\psi'$

# Checkpoint!

## Steps of the translation



# Alternating Büchi automata

## Idea

We want to translate from an LTL formula into a type of automaton that is powerful enough for a very simple translation.

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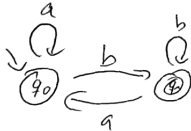
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### Implementing this idea

We will extend the *branching capabilities* of the automaton.

# Branching modes

Det. Aut



one word

$ababba...$

one run

$q_0 q_1 \dots$

$w \in \mathcal{L}(A)$  if  
the run  
accepts

Non-det. Aut.



one word

$ababba...$

Many runs

$q_0 q_0 q_1 q_0 \dots$

$q_0 q_0 q_0 q_0 \dots$

$w \in \mathcal{L}(A)$  if  
one run  
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Universal aut.



one word

$ababba...$

Many runs

$q_0 q_0 q_0 q_0 \dots$

$q_0 q_1$

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$w \in \mathcal{L}(A)$  if  
all infinite  
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## Representing branching modes in transition functions

### Idea

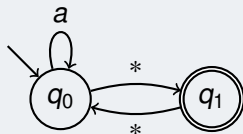
An alternative representation of the transition relation of a non-deterministic Büchi automaton  $\mathcal{A} = (Q, \Sigma, \delta, Q_0, F)$  with  $\delta \subseteq Q \times \Sigma \times Q$  is that of a Boolean transition function.

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For instance, consider the following automaton:



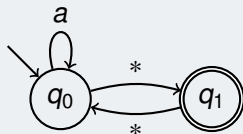
We can either write  $\delta = \{(q_0, a, q_0), (q_0, a, q_1), (q_0, b, q_1), (q_1, a, q_0), (q_1, b, q_0)\}$

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or

we define  $\delta : Q \times \Sigma \rightarrow \mathcal{B}^+(Q)$ , where  $\mathcal{B}^+(Q)$  is the set of positive Boolean formulas over  $Q$  and define  $\delta(q_0, a) = q_0 \vee q_1$ ,  $\delta(q_0, b) = q_1$ ,  $\delta(q_1, a) = q_0$ ,  $\delta(q_1, b) = q_1$ .

## Why positive Boolean functions?

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Using positive Boolean formulas, we can represent both *non-deterministic and universal branching*.

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$$\delta(q, a) = q_1 \wedge q_2$$

represents that the run needs for accept *all* possible successors to witness an accepted word.



## Example

(Universal) automaton for  $\Sigma = \{a, b\}$



Alternative representation of the translation function/relation

$$\delta(q_0, a) = q_0 \wedge q_1$$

$$\delta(q_0, b) = q_0 \wedge q_1$$

$$\delta(q_1, a) = q_1 \quad \delta(q_1, b) = \mathbf{true}$$

## A closer look at universal branching

(Universal) automaton for  $\Sigma = \{a, b\}$



### A word and a run tree

$w =$

$b$

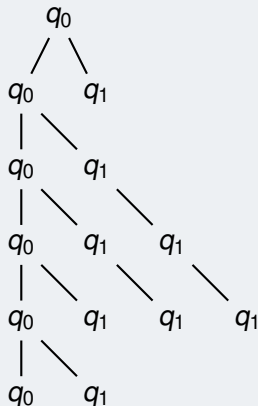
$b$

$a$

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...



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## Main idea

Alternating automata combine universal and non-deterministic branching in one automaton type. Hence they are quite powerful!

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**An alternating Büchi tree automaton accepts all words that have accepting run trees.**

**A run tree is accepting if all of its infinite branches visit accepting states infinitely often.**

## Run trees

Given an alternating Büchi automaton  $\mathcal{A} = (Q, \Sigma, \delta, Q_0, F)$  and a word  $w = w_0 w_1 \dots \in \Sigma^\omega$ , a tree  $\langle T, \tau \rangle$  is a **run tree** if it satisfies the following conditions:

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  - We have that  $\text{succ}(t) \models \delta(\tau(t), w_j)$

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### Example

When translating the LTL formula  $a \vee \mathbf{X}(a \mathcal{U} b)$  we will have states for the subformulas:

- $a$
- $b$
- $a \mathcal{U} b$
- $\mathbf{X}(a \mathcal{U} b)$
- $a \vee \mathbf{X}(a \mathcal{U} b)$

## How to build the automaton

### Automaton construction

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We define the states for all **G** and **R** subformulas as accepting, the rest is non-accepting. The state for the formula we started with is the only initial state.



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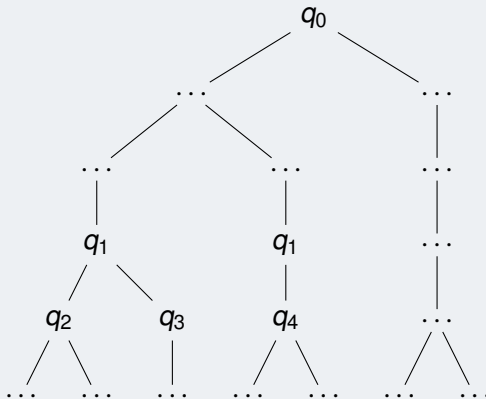
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For this to work, the run tree need to have a special shape.

## (Non-)Uniform run trees

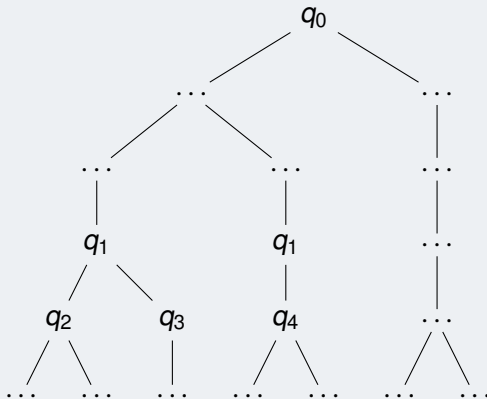
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## (Non-)Uniform run trees

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### Definition of a uniform run tree

Formally, we call a run tree  $\langle T_r, \tau_r \rangle$  *uniform* if for every two nodes  $t_1$  and  $t_2$ , we have that if  $|t_1| = |t_2|$  and  $\tau(t_1) = \tau(t_2)$ , then the sub-trees induced by  $t_1$  and  $t_2$  are the same.

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We can translate an accepting run tree by iterating through the levels and replacing subtrees by other subtrees. Since all subtrees are accepting, the tree stays accepting.

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If and only if we visit break-points infinitely often, the run of the non-deterministic automaton is accepting and all branches in the corresponding run tree of the alternating automaton are accepting.

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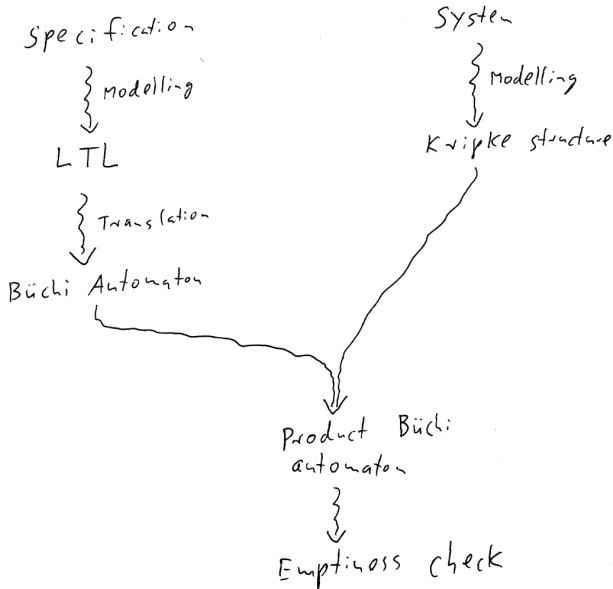
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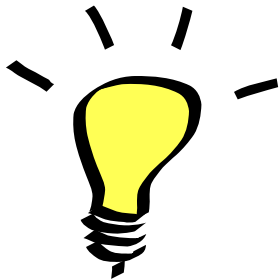
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# Overview



## Summary / List of Concepts

- Linear temporal logic
- Non-deterministic Büchi automata
- Product construction for model checking
- (Efficient) emptiness checking of non-deterministic Büchi automata
- Alternating automata
- Miyano-Hayashi construction



# References I

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